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#### Fall 2024

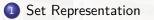


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Disjoint Sets

# Outline

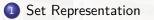




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# Outline





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Disjoint Sets

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### Introduction

- In this class, we study the use of trees in the representation of sets.
- For simplicity, we assume that the elements of the sets are 0, 1, 2, ..., n − 1.
- We also assume that the sets being represented are pairwise disjoint.



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# Introduction

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- For simplicity, we assume that the elements of the sets are 0, 1, 2, ..., n − 1.
- We also assume that the sets being represented are pairwise disjoint.
  - If  $S_i$  and  $S_j$  are two disjoint sets, then  $S_i \cap S_j = \emptyset$ , that is, no element that is in both  $S_i$  and  $S_j$ .



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# Set Representation (1/2)

• Suppose that we have  $S_1 = \{0, 6, 7, 8\}$ ,  $S_2 = \{1, 4, 9\}$  and  $S_3 = \{2, 3, 5\}$ .



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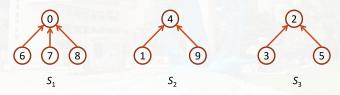
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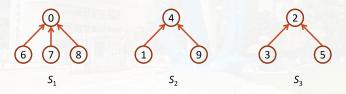


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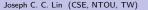
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- The following figure illustrates one possible representation for these sets.



 Note: for each set, we have linked the nodes from the children to the parent.



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# Set Representation (2/2)

• The operations that we wish to perform on these sets are:



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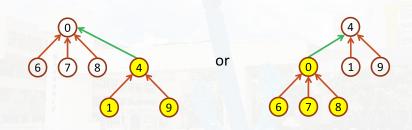
- **Disjoint set union:** If  $S_i$  and  $S_j$  are two disjoint sets, then their union  $S_i \cup S_j = \{x \mid x \in S_i \text{ or } x \in S_j\}.$
- Find(i): find the set containing the element *i*.



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Disjoint Sets Set Representation

#### Possible Representations of the Union of Two Sets



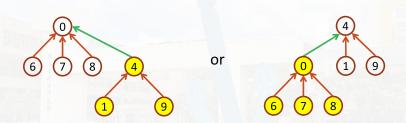


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Disjoint Sets Set Representation

#### Possible Representations of the Union of Two Sets

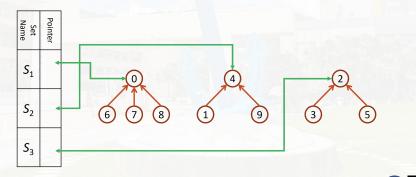


• Since we have linked the nodes from the children to parent, we simply make one of the trees a subtree of the other.



### Find() Operation

• We can find which set an element is in by following the parent links to the root and then returning the pointer to the set name.



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# Array Representation of Sets

- We identify the sets by the roots of the trees representing them.
- We can use the node's number as the index in our simplified example.
- This means that each node needs only one field: the index of its parents, to link to its parent,

i	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]
parent	-1	4	-1	2	-1	2	[0]	[0]	[0]	4

• Note: The root nodes have a parent of -1.



# Union and Find Operations

We can now find element *i* by simply following the parent values starting at *i* and continuing until we reach a negative parent value.

• For example, to **find** 5, we start at 5, and then move to 5's parent, 2. Since node 2 has a negative parent value, we have reached the root.

i	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]
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• To union two trees with root *i* and *j*, we can simply set parent[i] = j.



### Initial Attempt for the Union-Find Functions

```
int simpleFind (int i) {
   for (; parent[i] >= 0; i = parent[i])
     ;
   return i;
}
void simpleUnion (int i, int j) {
   parent [i] = j;
}
```

i	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]
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### Analysis of the Functions

• Consider the following case:



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# Analysis of the Functions

- Consider the following case:
  - We start with p elements, each in a set of its own, that is,  $S_i = \{i\}$ .



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# Analysis of the Functions

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# Analysis of the Functions

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union(0,1), find(0).

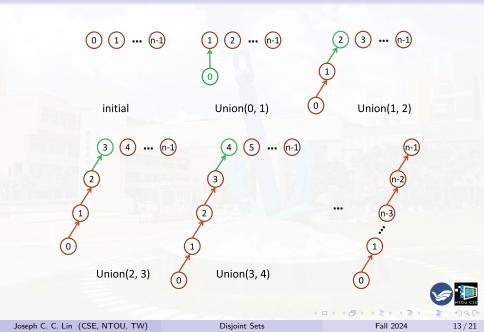
2 union(1,2), find(0).

3 :
4 union(n-2, n-1), find(0).

- $\triangleright$  The time complexity of union operations is O(n).
- ▷ The time complexity of find operations is  $\sum_{i=2}^{n} i = O(n^2)$ .



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# Weighting Rule for Union comes to the Rescue!

#### Weighting Rule for union(i, j)

- If the number of nodes in tree *i* is less than the number in tree *j*, make *j* the parent of *i*
- If the number of nodes in tree *i* is greater than the number in tree *j*, make *i* the parent of *j*.
- Note: If *i* is a root node, we set parent[*i*] to be the negative number of nodes in that tree.



### Example of Using the Weight Rule

... (n-1)

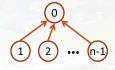
initial

0

(n-1) 2

Union(0, 1)

(n-1) 3 2



Union(0, 2)

Union(0, n-1)



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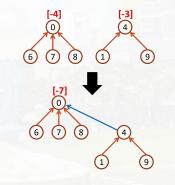
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# The Code of Union Function Using Weighting Rule

```
void weightedUnion(int i, int j) {
/* union the sets with roots i and j,
   i != j, using the weighting rule.
  parent [i] = -count [i]
   and parent [j] = -count[j] */
    int temp = parent[i] + parent[j];
    if (parent[i] > parent[j]) {
        parent[i] = j; /*make j the new root */
        parent[j] = temp;
    } else {
        parent[j] = i; /*make i the new root */
        parent[i] = temp;
    }
```

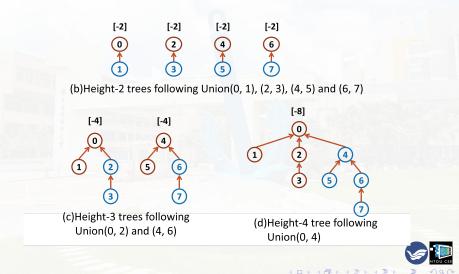




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Disjoint Sets Set Representation

#### Trees Achieving the Worst Case



# Another Rule: Collapsing Rule

#### Collapsing Rule for union(i, j)

- If j is a node on the path from i to its root and parent[i] ≠ root(i), then set parent[j] to root(i).
- Consider the previous example, and process the following eight find():

• The SimpleFind() needs  $3 \times 8 = 24$  moves.

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# Another Rule: Collapsing Rule

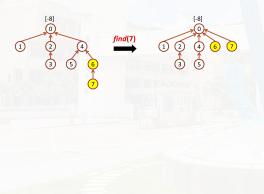
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- Consider the previous example, and process the following eight find():

- The SimpleFind() needs  $3 \times 8 = 24$  moves.
- The CollapsingFind() needs 3 + 3 + 7 = 13 moves.
  - first find(7): 3 moves.
  - reset 3 links: 3 moves.
  - remaining 7 finds: 7 moves.

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# Collapsing Rule (contd.)



- When collapsingFind is used, the first find(7) requires going up three links and then resetting two links.
- Note: Even though only two parent links need to be reset, collapsingFind will actually reset three (the parent of 4 is reset to 0).

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# The Code for the Collapsing Rule

```
int collapsingFind (int i) {
/* find the root of the tree containing element i.
   Use the collapsing rule to collapse all nodes
   from i to root */
    int root, trail, lead;
    for (root=i; parent[root]>=0; root=parent[root])
    for (trail=i; trail != root; trail=lead) {
        lead = parent[trail];
        parent[trail] = root;
    }
    return root;
}
             0
                         find(7
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```

Consider i = 7. root = 0 (after the 1st for-loop) trail = 7lead = parent[7] = 6parent[trail] = parent[7] = 0trail = 6lead = parent[6] = 4parent[6] = 0trail = 4lead = parent[4] = 0parent[4] = 0trail = 0



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# Discussions



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