### Social Choice

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### Outline

#### 1 Introduction to Social Choice

#### 2 Peer-Grading in MOOCs

- Preliminaries
- Correctness of Recovered Pairwise Rankings



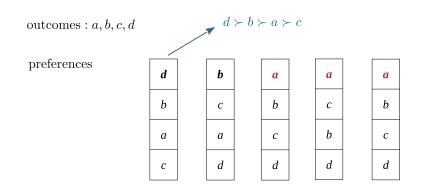
# The Setting of Social Choice

Take voting scheme for example.

- A set O of outcomes (i.e., alternatives, candidates, etc.)
- A set A of agents s.t. each of them has a preference ≻ over the outcomes.
- The social choice function: a mapping from the profiles of the preferences to a particular outcome.



### Outcomes & preferences





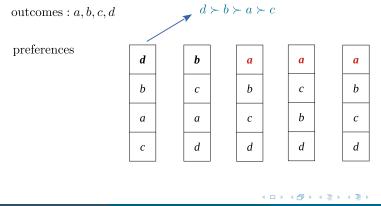
### Preferences

- A binary relation  $\succ$  such that
  - for every a, b ∈ O, a ≠ b, we have either a ≻ b or b ≻ a but NOT both.
  - for  $a, b, c \in O$ , if  $a \succ b$  and  $b \succ c$ , then we have  $a \succ c$ .
- $\succeq$  can be defined similarly.
  - ≺: ¬≻



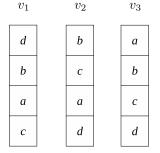
### Agents with preferences

- E.g., five agents (voters).
- Each agent has its preference over four candidates {a, b, c, d}.



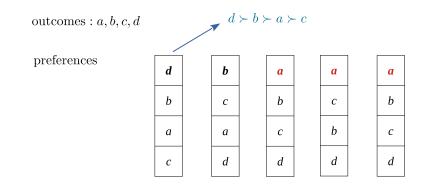
### Agents with preferences

- E.g., three agents (voters).
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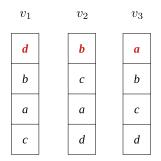


#### Plurality rule $\Rightarrow$ a



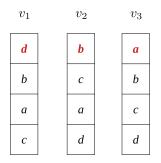
 Plurality rule: each agent can only give score 1 to the most preferred one and 0 to the others.

#### Plurality rule (contd.)



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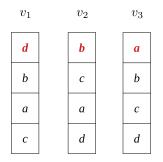
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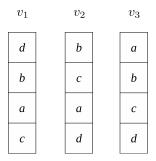
• Plurality rule:



# Plurality rule (contd.)



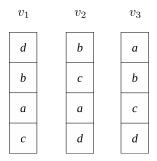
• Plurality rule: depending on the tie-breaking rule.



#### • Condorcet rule:

- a vs. b
- a vs. c
- a vs. d





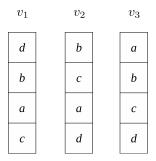
#### • Condorcet rule:

• a vs.  $b \rightarrow b$ 

• a vs. 
$$c 
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• a vs. 
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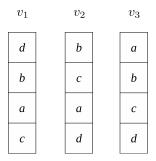




#### • Condorcet rule:

- C VS. a
- c vs. b
- c vs. d





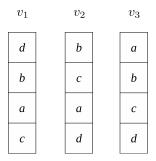
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• 
$$c$$
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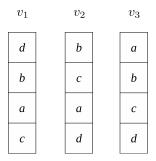




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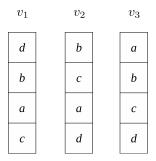
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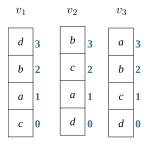
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#### Borda rule

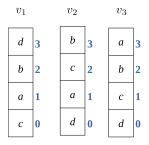


#### • Borda count rule:



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#### Borda rule

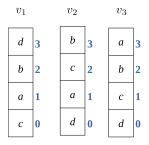


#### Borda count rule:

- score of *a*: 1+1+3=5.
- score of *b*: 2 + 3 + 2 = 7.
- score of c: 0 + 2 + 1 = 3.
- score of d: 3 + 0 + 0 = 3.



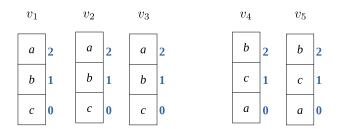
#### Borda rule



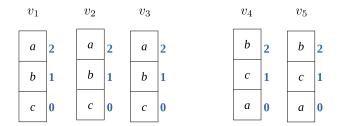
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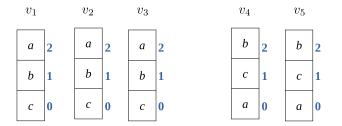




• Who is the winner by Borda counting?

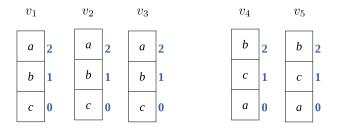


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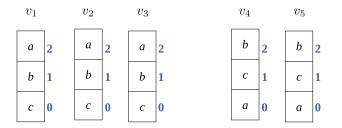
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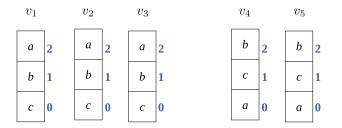
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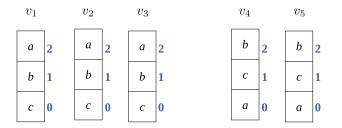


- Who is the winner by Borda counting? a: 6, b: 7, c: 2.
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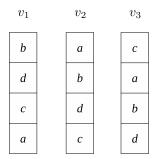


- Who is the winner by Borda counting? a: 6, b: 7, c: 2.
- Condorcet principle follows?  $a \succ b$ ,  $a \succ c$ .
- Who is the winner under the plurality rule?



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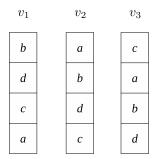
#### Successive elimination



• Successive elimination with ordering  $a \rightarrow b \rightarrow c \rightarrow d$ :



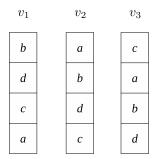
#### Successive elimination



• Successive elimination with ordering  $a \rightarrow \not b \rightarrow c \rightarrow d$ :

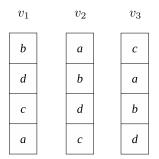


#### Successive elimination



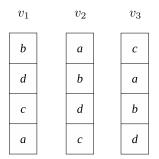
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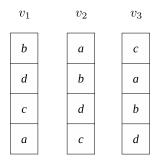
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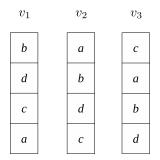
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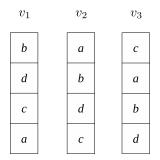
• Successive elimination with ordering  $\not a \rightarrow \not b \rightarrow \not c \rightarrow d$ : d

• The issue: all of the agents prefer b to d!



- Successive elimination with ordering  $a \rightarrow b \rightarrow c \rightarrow d$ : d
- Successive elimination with ordering  $a \rightarrow c \rightarrow b \rightarrow d$ :

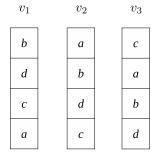




- Successive elimination with ordering  $a \rightarrow b \rightarrow c \rightarrow d$ : d
- Successive elimination with ordering  $a \rightarrow c \rightarrow b \rightarrow d$ : **b**



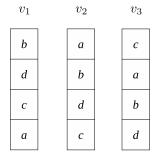
## Successive elimination (sensitive to the agenda order)



- Successive elimination with ordering  $a \rightarrow b \rightarrow c \rightarrow d$ : d
- Successive elimination with ordering  $a \rightarrow c \rightarrow b \rightarrow d$ : **b**
- Successive elimination with ordering  $b \rightarrow c \rightarrow a \rightarrow d$ :



## Successive elimination (sensitive to the agenda order)



- Successive elimination with ordering  $a \rightarrow b \rightarrow c \rightarrow d$ : d
- Successive elimination with ordering  $a \rightarrow c \rightarrow b \rightarrow d$ : **b**
- Successive elimination with ordering  $b \rightarrow c \rightarrow a \rightarrow d$ : a



- Let's say we have 1,000 agents each of which has a preference over three candidates *A*, *B*, *C*.
  - 499 agents for  $A \succ B \succ C$ .
  - 3 agents for  $B \succ C \succ A$ .
  - 498 agents for  $C \succ B \succ A$ .
- Who is the Condorcet winner?



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- Who is the Condorcet winner? B.
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- Who is the Condorcet winner? B.
- Who is the winner under the plurality rule? A.



#### Exercise

#### On Borda Count & Condorcet

We have five voters with the following preferences (ordering) over the outcomes A, B, C, and D.

- $B \succ C \succ A \succ D$ .
- $B \succ D \succ C \succ A$ .
- $D \succ C \succ A \succ B$ .
- $A \succ D \succ B \succ C$ .
- $A \succ D \succ C \succ B$ .

Who is the winner by the Borda Count rule? Who is the Condorcet winner?



#### Let's consider a practical application in MOOCs.



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  - e.g., Coursera, EdX.





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- MOOCs: Massive Online Open Courses
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- Outscourcing the grading task to the students.
- They may have incentives to assign LOW scores to everybody else.
  - $\triangleright$  Ask each student to grade a SMALL number of her peers' assignments.
  - Then merge individual rankings into a global one.



### Terminologies

- $\mathcal{A}$ : universe of *n* elements (students).
- (*n*, *k*)-grading scheme:

a collection  $\mathcal{B}$  of size-k subsets (bundles) of  $\mathcal{A}$ , such that each element of  $\mathcal{A}$  belongs to exactly k subsets of  $\mathcal{B}$ .

#### • The bundle graph:

Represent the (n, k)-grading scheme with a bipartite graph.

•  $\prec_b$ : a ranking of the element *b* contains (partial order).



## The aggregation rule

#### An aggregation rule:

profile of partial rankings  $\mapsto$  complete ranking of all elements.

#### Borda:

SPRING TEAST 2016 BALLOT				SPRING FEAST 2016 BALLOT				SPRING FEAST 2016 BALLOT			
a	LE BLE D'OR	5		a	LE BLE D'OR	sels 5	5	a	LE BLE D'OR	4	
b	CRYSTAL SPOON	3		b	CRYSTAL SPOON	4	4	b	CRYSTAL SPOON	5	
с	Bei Yuan Restaurant	1		с	Bei Yuan Restaurant 📱	2	2	с	Bei Yuan Restaurant	1	
d	Tasty Steak TASTY	2		d	Tasty Steak	ASTY 1	1	d	Tasty Steak TASTY	3	
e	Capricciosa	4		е	Capricciosa	Comment 3	3	e	Capricciosa	2	
			1								

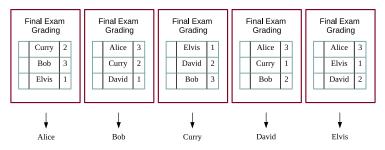
• a: 14; b: 12; c: 4; d: 6; e: 9.

 $\mathsf{a} \prec \mathsf{b} \prec \mathsf{e} \prec \mathsf{d} \prec \mathsf{c}.$ 

500

# Order-revealing grading scheme

An aggregation rule in peer grading (Borda):

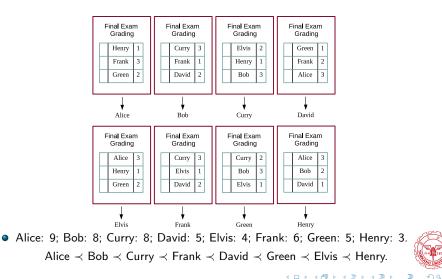


• Alice: 9; Bob: 8; Curry: 5; David: 5; Elvis: 3.

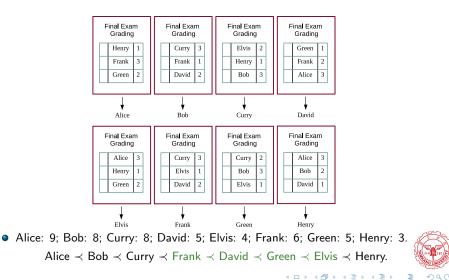
Alice  $\prec$  Bob  $\prec$  Curry  $\prec$  David  $\prec$  Elvis.



# Order-revealing grading scheme (contd.)



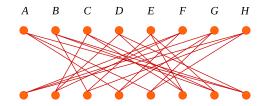
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#### The bundle graph

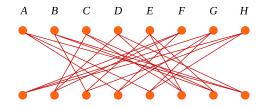
The bundle graph:





## The bundle graph

#### The bundle graph:



• A random *k*-regular graph:

A complete bipartite  $K_{n,n} \mapsto$  removing edges  $\{v, v\}$ ,  $\forall v \mapsto$ 

repeat

"draw a perfect matching uniformly at random among all perfect matchings of the remaining graph"

for k times.

### The limitation on the order revealing scheme

• The property of revealing the ground truth for certain:

 $\forall x, y \in \mathcal{A}, \exists B \in \mathcal{B} \text{ such that } x, y \in B.$ 



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- Suppose NO bundle contains both  $x, y \in A$ .
- Let  $\prec, \prec'$  be two complete rankings.
  - x, y are in the first two positions in  $\prec, \prec'$ ;
  - $\prec$  and  $\prec'$  differs only in the order of x and y.
- Clearly, partial rankings within the bundles are identical in both cases.
- No way to identify whether  $\prec$  or  $\prec'$  is the ground truth.



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- Clearly, partial rankings within the bundles are identical in both cases.
- No way to identify whether  $\prec$  or  $\prec'$  is the ground truth.
- To reveal the ground truth with certainty:  $k = \Omega(\sqrt{n})$ .

• 
$$n \cdot \binom{k}{2} \ge \binom{n}{2}$$
.

#### Seeking for approximate order-revealing grading schemes

- Use a bundle graph with a very low degree k (independent of n).
- Randomly permute the elements by π : U → A before associating them to the nodes of U of the bundle graph.
- Aiming at  $\frac{\text{#correctly recovered pairwise relations}}{\binom{n}{2}}$



Social Choice Peer-Grading in MOOCs Correctness of Recovered Pairwise <u>Rankings</u>

## The main result

#### Theorem (Caragiannis, Krimpas, Voudouris@AAMAS'15)

When

- Borda is applied as the aggregation rule, and
- all the partial rankings are consistent to the ground truth,

then the expected fraction of correctly recovered pairwise relations is  $1 - O(1/\sqrt{k}).$ 



Social Choice Peer-Grading in MOOCs Correctness of Recovered Pairwise Rankings



• What will happen if we assign for each student only two assignments and each assignment is graded by exactly two students?

