## Social Choice

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## Outline

(1) Introduction to Social Choice
(2) Peer-Grading in MOOCs

- Preliminaries
- Correctness of Recovered Pairwise Rankings


## The Setting of Social Choice

Take voting scheme for example.

- A set $O$ of outcomes (i.e., alternatives, candidates, etc.)
- A set $A$ of agents s.t. each of them has a preference $\succ$ over the outcomes.
- The social choice function: a mapping from the profiles of the preferences to a particular outcome.


## Outcomes \& preferences



## Preferences

- A binary relation $\succ$ such that
- for every $a, b \in O, a \neq b$, we have either $a \succ b$ or $b \succ a$ but NOT both.
- for $a, b, c \in O$, if $a \succ b$ and $b \succ c$, then we have $a \succ c$.
- $\succeq$ can be defined similarly.
- 々: ᄀ


## Agents with preferences

- E.g., five agents (voters).
- Each agent has its preference over four candidates $\{a, b, c, d\}$.

| outcomes : $a, b, c, d$ |  | b |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| preferences | d |  | $a$ | $a$ | $a$ |
|  | $b$ | c | $b$ | c | $b$ |
|  | $a$ | $a$ | c | $b$ | c |
|  | c | $d$ | $d$ | $d$ | $d$ |

## Agents with preferences

- E.g., three agents (voters).
- Each agent has its preference over four candidates $\{a, b, c, d\}$.

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | c | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

## Plurality rule $\Rightarrow$ a



- Plurality rule: each agent can only give score 1 to the most preferred one and 0 to the others.


## Plurality rule (contd.)

| $v_{1}$ | $v_{2}$ |
| :---: | :---: |
| $d$ |  |
| $b$ |  |
| $a$ |  |
| $c$ |  |
| $a$ |  |
| $d$ |  |
| $a$ |  |$\quad$| $b$ |
| :---: |
| $a$ |
| $d$ |

- Plurality rule: each agent can only give score 1 to the most preferred one and 0 to the others.


## Plurality rule (contd.)

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| d | $b$ | $a$ |
| $b$ | C | $b$ |
| $a$ | $a$ | C |
| $C$ | $d$ | $d$ |

- Plurality rule:


## Plurality rule (contd.)

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| d | b | $a$ |
| $b$ | C | $b$ |
| $a$ | $a$ | C |
| $C$ | $d$ | $d$ |

- Plurality rule: depending on the tie-breaking rule.


## Condorcet rule

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | $c$ | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

- Condorcet rule:
- $a$ vs. $b$
- a vs. c
- a vs. d


## Condorcet rule

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | $c$ | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

- Condorcet rule:
- $a$ vs. $b \rightarrow b$
- $a$ vs. $c \rightarrow a$
- a vs. $d \rightarrow a$


## Condorcet rule

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | $c$ | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

- Condorcet rule:
- $c$ VS. a
- $c$ vs. $b$
- $c$ Vs. $d$


## Condorcet rule

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | $c$ | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

- Condorcet rule:
- $c$ vs. $a \rightarrow a$
- $c$ vs. $b \rightarrow b$
- $c$ vs. $d \rightarrow c$


## Condorcet rule

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | $c$ | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

- Condorcet rule:
- $b$ vs. $a$
- b vs. c
- $b$ vs. $d$


## Condorcet rule

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | $c$ | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

- Condorcet rule:
- $b$ vs. $a \rightarrow b$
- $b$ vs. $c \rightarrow b$
- $b$ vs. $d \rightarrow b$


## Condorcet rule

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $d$ | $b$ | $a$ |
| $b$ | $c$ | $b$ |
| $a$ | $a$ | c |
| c | $d$ | $d$ |

- Condorcet rule: $b$
- $b$ vs. $a \rightarrow b$
- $b$ vs. $c \rightarrow b$
- $b$ vs. $d \rightarrow b$


## Borda rule

| $v_{1}$ |  | $v_{2}$ |  | $v_{3}$ |
| :---: | :---: | :---: | :---: | :---: |
| $d$ | 3 | $b$ | 3 | $a$ |
| $b$ | 2 | c | 2 | $b$ |
| $a$ | 1 | $a$ | 1 | C |
| C | 0 | $d$ | 0 | $d$ |

- Borda count rule:


## Borda rule

| $v_{1}$ |  | $v_{2}$ |  | $v_{3}$ |
| :---: | :---: | :---: | :---: | :---: |
| $d$ | 3 | $b$ | 3 | $a$ |
| $b$ | 2 | C | 2 | $b$ |
| $a$ | 1 | $a$ | 1 | C |
| $C$ | 0 | $d$ | 0 | $d$ |

- Borda count rule:
- score of $a$ : $1+1+3=5$.
- score of $b: 2+3+2=7$.
- score of $c: 0+2+1=3$.
- score of $d$ : $3+0+0=3$.


## Borda rule

| $v_{1}$ |  | $v_{2}$ |  | $v_{3}$ |
| :---: | :---: | :---: | :---: | :---: |
| $d$ | 3 | $b$ | 3 | $a$ |
| $b$ | 2 | C | 2 | $b$ |
| $a$ | 1 | $a$ | 1 | C |
| $C$ | 0 | $d$ | 0 | $d$ |

- Borda count rule: $b$.
- score of $a$ : $1+1+3=5$.
- score of $b: 2+3+2=7$.
- score of $c: 0+2+1=3$.
- score of $d$ : $3+0+0=3$.


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- Who is the winner by Borda counting?


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- Who is the winner by Borda counting? a: 6, b:7, $c: 2$.


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- Who is the winner by Borda counting? a: 6, b:7, $c: 2$.
- Condorcet principle follows?


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## Inefficiency of Borda Count



- Who is the winner by Borda counting? a: 6, b:7, $c: 2$.
- Condorcet principle follows? $a \succ b, a \succ c$.
- Who is the winner under the plurality rule?


## Inefficiency of Borda Count



- Who is the winner by Borda counting? a: 6, b:7, $c: 2$.
- Condorcet principle follows? $a \succ b, a \succ c$.
- Who is the winner under the plurality rule? a.


## Successive elimination

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | C |
| $d$ | $b$ | $a$ |
| $C$ | $d$ | $b$ |
| $a$ | C | $d$ |

- Successive elimination with ordering $a \rightarrow b \rightarrow c \rightarrow d$ :


## Successive elimination

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | C |
| $d$ | $b$ | $a$ |
| C | $d$ | $b$ |
| $a$ | C | $d$ |

- Successive elimination with ordering $a \rightarrow b \rightarrow c \rightarrow d$ :


## Successive elimination

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| $b$ | $a$ | C |
| $d$ | $b$ | $a$ |
| C | $d$ | $b$ |
| $a$ | C | $d$ |

- Successive elimination with ordering $\nexists \rightarrow b \rightarrow c \rightarrow d$ :


## Successive elimination

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | c |
| $d$ | $b$ | $a$ |
| C | $d$ | $b$ |
| $a$ | C | $d$ |

- Successive elimination with ordering $\nexists \rightarrow b \rightarrow \not \subset \rightarrow d$ :


## Successive elimination

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | c |
| $d$ | $b$ | $a$ |
| C | $d$ | $b$ |
| $a$ | C | $d$ |

- Successive elimination with ordering $\nexists \rightarrow b \rightarrow \not \subset \rightarrow d$ : $d$


## Successive elimination

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | c |
| $d$ | $b$ | $a$ |
| C | $d$ | $b$ |
| $a$ | C | $d$ |

- Successive elimination with ordering $\nexists \rightarrow b \rightarrow \not \subset \rightarrow d$ : $d$
- The issue: all of the agents prefer $b$ to $d$ !


## Successive elimination

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | c |
| $d$ | $b$ | $a$ |
| C | $d$ | $b$ |
| $a$ | c | $d$ |

- Successive elimination with ordering $a \rightarrow b \rightarrow c \rightarrow d: d$
- Successive elimination with ordering $a \rightarrow c \rightarrow b \rightarrow d$ :


## Successive elimination

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | c |
| $d$ | $b$ | $a$ |
| $c$ | $d$ | $b$ |
| $a$ | C | $d$ |

- Successive elimination with ordering $a \rightarrow b \rightarrow c \rightarrow d$ : $d$
- Successive elimination with ordering $a \rightarrow c \rightarrow b \rightarrow d: b$


## Successive elimination (sensitive to the agenda order)

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | c |
| $d$ | $b$ | $a$ |
| c | $d$ | $b$ |
| $a$ | c | $d$ |

- Successive elimination with ordering $a \rightarrow b \rightarrow c \rightarrow d$ : $d$
- Successive elimination with ordering $a \rightarrow c \rightarrow b \rightarrow d: \quad b$
- Successive elimination with ordering $b \rightarrow c \rightarrow a \rightarrow d$ :


## Successive elimination (sensitive to the agenda order)

| $v_{1}$ | $v_{2}$ | $v_{3}$ |
| :---: | :---: | :---: |
| $b$ | $a$ | c |
| $d$ | $b$ | $a$ |
| c | $d$ | $b$ |
| $a$ | c | $d$ |

- Successive elimination with ordering $a \rightarrow b \rightarrow c \rightarrow d$ : $d$
- Successive elimination with ordering $a \rightarrow c \rightarrow b \rightarrow d: \quad b$
- Successive elimination with ordering $b \rightarrow c \rightarrow a \rightarrow d: a$


## Condorcet Winner vs. Plurality

- Let's say we have 1,000 agents each of which has a preference over three candidates $A, B, C$.
- 499 agents for $A \succ B \succ C$.
- 3 agents for $B \succ C \succ A$.
- 498 agents for $C \succ B \succ A$.
- Who is the Condorcet winner?


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- Who is the winner under the plurality rule?


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- 499 agents for $A \succ B \succ C$.
- 3 agents for $B \succ C \succ A$.
- 498 agents for $C \succ B \succ A$.
- Who is the Condorcet winner? B.
- Who is the winner under the plurality rule? A.


## Exercise

## On Borda Count \& Condorcet

We have five voters with the following preferences (ordering) over the outcomes $A, B, C$, and $D$.

- $B \succ C \succ A \succ D$.
- $B \succ D \succ C \succ A$.
- $D \succ C \succ A \succ B$.
- $A \succ D \succ B \succ C$.
- $A \succ D \succ C \succ B$.

Who is the winner by the Borda Count rule?
Who is the Condorcet winner?

Let's consider a practical application in MOOCs.

## MOOCs

- MOOCs: Massive Online Open Courses
- e.g., Coursera, EdX.


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$\triangleright$ Ask each student to grade a SMALL number of her peers' assignments.


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- MOOCs: Massive Online Open Courses
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- Outscourcing the grading task to the students.
- They may have incentives to assign LOW scores to everybody else.
$\triangleright$ Ask each student to grade a SMALL number of her peers' assignments.
- Then merge individual rankings into a global one.


## Preliminaries

## Terminologies

- $\mathcal{A}$ : universe of $n$ elements (students).
- ( $n, k$ )-grading scheme:
a collection $\mathcal{B}$ of size- $k$ subsets (bundles) of $\mathcal{A}$, such that each element of $\mathcal{A}$ belongs to exactly $k$ subsets of $\mathcal{B}$.
- The bundle graph:

Represent the ( $n, k$ )-grading scheme with a bipartite graph.

- $\prec_{b}$ : a ranking of the element $b$ contains (partial order).


## Preliminaries

## The aggregation rule

## An aggregation rule:

 profile of partial rankings $\mapsto$ complete ranking of all elements.- Borda:


SPRONG FEAST 2016 BALLOT

| a | LE BLE D'OR |  | 5 |
| :---: | :---: | :---: | :---: |
| b | CRYSTAL SPOON | $0=x+\frac{1}{T}+1$ | 4 |
| c | Bei Yuan Restaurant | $\sqrt{\square \pi} \frac{1}{7}$ | 2 |
| d | Tasty Steak | TASTY | 1 |
| e | Capricciosa | Cancme | 3 |

```
SDRONG FEAST 2O16 BALLOT
```

| a | LE BLE D'OR |  | 4 |
| :---: | :---: | :---: | :---: |
| b | CRYSTAL SPOON | certital | 5 |
| c | Bei Yuan Restaurant | $\sqrt{6}$ | 1 |
| d | Tasty Steak | TASTY | 3 |
| e | Capricciosa | C-mantin | 2 |

- a: 14; b: 12; c: 4; d: 6; e: 9 .

$$
a \prec b \prec e \prec d \prec c .
$$

## Order-revealing grading scheme

An aggregation rule in peer grading (Borda):


- Alice: 9; Bob: 8; Curry: 5; David: 5; Elvis: 3.

$$
\text { Alice } \prec \text { Bob } \prec \text { Curry } \prec \text { David } \prec \text { Elvis. }
$$

## Assumption (perfect grading)

Each student grades the assignments in her bundle consistently to the ground truth.

## Preliminaries

## Order-revealing grading scheme (contd.)



- Alice: 9; Bob: 8; Curry: 8; David: 5; Elvis: 4; Frank: 6; Green: 5; Henry: 3.

$$
\text { Alice } \prec \text { Bob } \prec \text { Curry } \prec \text { Frank } \prec \text { David } \prec \text { Green } \prec \text { Elvis } \prec \text { Henry. }
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## Preliminaries

## Order-revealing grading scheme (contd.)



- Alice: 9; Bob: 8; Curry: 8; David: 5; Elvis: 4; Frank: 6; Green: 5; Henry: 3.

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## Preliminaries

## The bundle graph

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## Preliminaries

## The bundle graph

The bundle graph:


- A random $k$-regular graph:

A complete bipartite $K_{n, n} \mapsto$ removing edges $\{v, v\}, \forall v \mapsto$
repeat
"draw a perfect matching uniformly at random among all perfect matchings of the remaining graph"
for $k$ times.

## The limitation on the order revealing scheme

- The property of revealing the ground truth for certain:

$$
\forall x, y \in \mathcal{A}, \exists B \in \mathcal{B} \text { such that } x, y \in B
$$

## Preliminaries

## The limitation on the order revealing scheme

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\forall x, y \in \mathcal{A}, \exists B \in \mathcal{B} \text { such that } x, y \in B
$$

- Suppose NO bundle contains both $x, y \in \mathcal{A}$.
- Let $\prec, \prec^{\prime}$ be two complete rankings.
- $x, y$ are in the first two positions in $\prec, \prec^{\prime}$;
- $\prec$ and $\prec^{\prime}$ differs only in the order of $x$ and $y$.
- Clearly, partial rankings within the bundles are identical in both cases.
- No way to identify whether $\prec$ or $\prec^{\prime}$ is the ground truth.


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- $x, y$ are in the first two positions in $\prec, \prec^{\prime}$;
- $\prec$ and $\prec^{\prime}$ differs only in the order of $x$ and $y$.
- Clearly, partial rankings within the bundles are identical in both cases.
- No way to identify whether $\prec$ or $\prec^{\prime}$ is the ground truth.
- To reveal the ground truth with certainty: $k=\Omega(\sqrt{n})$.
- $n \cdot\binom{k}{2} \geq\binom{ n}{2}$.


## Seeking for approximate order-revealing grading schemes

- Use a bundle graph with a very low degree $k$ (independent of $n$ ).
- Randomly permute the elements by $\pi: U \mapsto \mathcal{A}$ before associating them to the nodes of $U$ of the bundle graph.
- Aiming at $\frac{\text { \#correctly recovered pairwise relations }}{\binom{n}{2}}$.


## The main result

## Theorem (Caragiannis, Krimpas, Voudouris@AAMAS'15)

## When

- Borda is applied as the aggregation rule, and
- all the partial rankings are consistent to the ground truth, then the expected fraction of correctly recovered pairwise relations is $1-O(1 / \sqrt{k})$.


## Question

- What will happen if we assign for each student only two assignments and each assignment is graded by exactly two students?

